JAN 1 2 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

applicant:

Clyde M. Guest et al.

Examiner: Brian P. Werner

Serial No.:

09/848,479

Group Art Unit: 2621

Filed:

May 3, 2001

Docket No.: A126.164.102

Title:

SYSTEM AND METHOD FOR SELECTION OF A REFERENCE DIE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

DECLARATION UNDER 37 C.F.R § 1.131

Dear Sir:

We, Clyde M. Guest, Rajiv Roy, and Charles K. Harris, declare as follows:

- 1. We are the named inventors of the subject matter described and claimed in the above-identified U.S. Patent Application Serial No. 09/848,479 filed May 3, 2001, which is a continuation of U.S. Patent No. 6,252,981, filed March 17, 1999.
- 2. We make this declaration in support of the patentability of the claims of U.S. Patent Application Serial No. 09/848,479.
- 3. This Declaration under 37 C.F.R. § 1.131 is made in response to the rejection of claims 73-75, 77-79, 84, 85, and 88-97 under 35 U.S.C. § 103 based upon various combinations of references, all including Gallarda, U.S. Patent No. 6,539,106 ("Gallarda"), filed on January 8, 1999.
- 4. Prior to the Gallarda filing date of January 8, 1999, we reduced the above-identified invention to practice. As factual evidence of our reduction to practice prior to January 8, 1999, attached hereto are Exhibits A and B.

Declaration under 37 C.F.R. § 1.131 Applicant: Clyde M. Guest et al.

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Title: SYSTEM AND METHOD FOR SELECTION OF A REFERENCE DIE

- 5. Exhibit A is a portion of a version history of a software archive. The software archive relates to a software package we developed for performing die inspection as part of a die inspection system as described in the pending application. In particular, the software archive of Exhibit A shows dates associated with the prototyping of improvements, or version changes, of an automatic reference selection function incorporated into the software package. The automatic reference selection function performs automatic reference image selection based upon a first die image and a second die image. We realized several function improvements to Version 1. For example, at least as of Version 9 (December 18, 1997), we had prototyped selecting two or more reference images, where each reference image is selected from a different predetermined region of a silicon wafer. This history of diligent improvement has been memorialized using Microsoft® SourceSafe®. Exhibit A is a printout of a portion of that history.
- 6. Exhibit B is a portion of another version history of a software archive. The software archive shows dates associated with the prototyping of improvements, or version changes, of a pattern comparison subroutine, which creates a difference image usable to select a reference die. The subroutine is used in association with the automatic reference selection function of Exhibit A. We realized several subroutine improvements to Version 1. For example, at least as of Version 10 (November 11, 1997), we had prototyped use of a slope detector for dynamic thresholding. As another example, at least as of Version 26 (July 8, 1998), we had prototyped size filtering, for example determining whether a difference image contains unacceptable data by determining whether a number of areas having data deviations exceed a predetermined allowable number of areas having image data deviations. This history of diligent improvement has been memorialized using Microsoft® SourceSafe®. Exhibit A is a printout of a portion of that history.
- 6. The parent application, U.S. Patent Application Serial No. 09/270,607, was filed on March 17, 1999. Thus, it is respectfully submitted that the subject matter of the pending application was also constructively reduced to practice with reasonable diligence.

Declaration under 37 C.F.R. § 1.131 Applicant: Clyde M. Guest et al.

Serial No.: 09/848,479
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Title: SYSTEM AND METHOD FOR SELECTION OF A REFERENCE DIE

Application Serial No. 09/848,479 prior to March 17, 1999, we diligently reduced the subject matter to practice from a date prior to the filing of Gallarda, and we diligently and constructively reduced our invention to practice by filing with the U.S. Patent and Trademark Office U.S. Patent Application Serial No. 09/270,607 on March 17, 1999 now U.S. Patent No. 6,252,981 issued on June 26, 2001. Thus, Gallarda should be removed as a prior art reference in the above-referenced rejection.

8. We declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or a patent issued thereon.

Clyde M. Guest	11/10/05 Date
,	
Rajiv Roy	Date

Charles K. Harris Date Date

Nov. 10 2005 03:43PM P1

FAX NO.: 9724911690

FROM: RR__

Declaration under 37 C.F.R. § 1.131 Applicant: Clyde M. Guest et al.

Serial No.: 09/848,479
Filed: May 3, 2001
Docket No.: A126,164,102

Title: SYSTEM AND METHOD FOR SELECTION OF A REFERENCE DIB

- Application Serial No. 09/848,479 prior to March 17, 1999, we diligently reduced the subject matter to practice from a date prior to the filing of Gallarda, and we diligently and constructively reduced our invention to practice by filing with the U.S. Patent and Trademark Office U.S. Patent Application Serial No. 09/270,607 on March 17, 1999 now U.S. Patent No. 6,252,981 issued on June 26, 2001. Thus, Gallarda should be removed as a prior art reference in the above-referenced rejection.
- 8. We declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or a patent issued thereon.

Clyde M. Guest	Date .
hajir hoy	Nov/0th, 2005
Rajiv Roy	Date
•	
Charles K. Harris	Date

mcatsupa \$/Backup/SerComm/mcatsupa.cpp ************** Version 10 ********* Label: MCAT_SERCOMM_6 Date: 1/22/98 Time: 7:11p User: Maxguest Checked in \$/mcat/SerComm Comment: Allow early disqualification of set-up dies. Fixed bugs in edge set-up. Label comment: ********** Label: MCAT_SERCOMM_5 Date: 12/18/97 Time: 8:25p User: Maxguest Labeled 'MCAT_SERCOMM_5' ************* Version 9 ********** Date: 12/18/97 Time: 8:25p User: Maxguest Checked in \$/mcat/SerComm Comment: Force set-up to use edge dies. ********** Label: MCAT_SERCOMM_4 Date: 12/02/97 Time: 7:45a User: Maxguest Labeled 'MCAT_SERCOMM_4' ***** Label: MCAT_SERCOMM_3 Date: 11/26/97 Time: 8:11a User: Maxguest Labeled 'MCAT_SERCOMM_3' ****** Label: MCAT_SERCOMM_2 Date: 11/24/97 Time: 7:53p User: Maxguest Labeled 'MCAT_SERCOMM_2 Label comment: Version updates: sub-die software review camera die-level threshold ************* Version 8 ********* Date: 11/07/97 Time: 12:00p User: Maxquest Checked in \$/mcat/SerComm Comment: Fixed sub-die setup code. ************** Version 7 ********** Date: 10/20/97 Time: 7:06p User: Maxguest Checked in \$/mcat/SerComm Comment: Added sub-die support. ***** Label: MCAT_SERCOMM_1 Date: 10/11/97 Time: 2:02p User: Maxguest Labeled 'MCAT_SERCOMM_1' Label comment:

First working version of sercomm.

```
shotmod
                 Version 32 ************
Date: 7/27/98 Time: 6:52p
User: Rahul
Checked in $/AVI/DevSpec
Comment:
 Changed Code not to do Analysis on die's with Ink Dot's
Changed to clear User regions before process
*************** Version 31 **********
                    Date: 7/21/98 Time: 3:21p
User: Maxguest
Checked in $/AVI/DevSpec
Comment:
 Adjusted defect position from pixels to microns
*************** Version 30 **********
                    Date: 7/09/98 Time: 9:20a
User: Cblumreich
Checked in $/AVI/DevSpec
************** Version 29 **********
                    Date: 7/09/98 Time: 9:00a
User: Maxguest
Checked in $/AVI/DevSpec
Comment:
  A little code clean up and commenting
************* Version 28 *********
                    Date: 7/08/98 Time: 6:40p
User: Maxguest
Checked in $/AVI/DevSpec
  Changed some of the defect filtering, should be much closer to
correct now
************** Version 27
                    Date: 7/08/98 Time: 3:57p
User: Maxguest
Checked in $/AVI/DevSpec
  Blobs should now be analysed and accounted for.
Defect data is now sent to the mapper.
************* Version 26 **********
                    Date: 7/08/98 Time: 12:02p
User: Maxguest
Checked in $/AVI/DevSpec
Comment:
  Added use of user regions to testing.
Added new function BlobFilter to select defects by criteria.
Added Minimum Diameter and Area to Process Options Page.
Added Enable Blob Analysis to AVI Options Page
************* Version 25 **********
                    Date: 7/07/98 Time: 9:41a
User: Maxguest
Checked in $/AVI/DevSpec
  Positioned the UserRegionMenu correctly when the image is scrolled
across.
Removed the SENSITIVITY enum from DecSpecData
************* Version 24 **********
                     Date: 7/06/98 Time: 4:49p
User: Maxguest
Checked in $/AVI/DevSpec
Comment:
  Fixed User Region Default parameter bug.
Fixed the menu to popup at the cursor.
 ************* Version 23 *********
                     Date: 7/06/98 Time: 11:38a
User: Maxguest
                                    Page 31
```

EXHIBIT B

```
Checked in $/AVI/DevSpec .
Comment:
 Changed to accomodate UserRegions
*************** Version 22 **********
                    Date: 7/02/98 Time: 6:20p
User: Maxquest
Checked in $/AVI/DevSpec
Comment:
  First attempt at user regions instead of sensitive areas.
There are a few holes which still need filling in, known holes are marked with
an ASSERT(FALSE). Also need to add the single right button handler and the call
chain for that.
Label: Version_1.1
                    Date: 6/10/98 Time: 4:26p
User: Maxguest
Labeled 'Version_1.1'
Label comment:
  Hiji update, motorized optics, 6/10/98
**************** Version 21 **********
                    Date: 5/20/98 Time: 9:34a
User: Maxguest
Checked in $/AVI/DevSpec
Comment:
  Changed RUN_DIAG to receive tolerance levels and return results
************* Version 20 **********
                    Date: 5/11/98 Time: 10:01a
User: Maxguest
Checked in $/AVI/DevSpec
************* Version 19 ***********
                    Date: 5/07/98 Time: 1:31p
User: Maxguest
Checked in $/AVI/DevSpec
  Added the TestLightLevel ,CheckSystemLight and CheckSytemMag
for the Diagnostics.
************* Version 18 *********
                    Date: 4/30/98 Time: 2:06a
User: Maxguest
Checked in $/AVI/DevSpec
Comment:
  Added pointer to CAviDoc so that temporary changes to the process
parameters can be made while inspecting.
Added TestInk() function.
Added m_uTripIncrease
Do not reallocate blob buffers unless needed. Prevents Mil crash.
************** Version 17 **********
                     Date: 3/17/98 Time: 4:57p
User: Maxguest
Checked in $/AVI/DevSpec
Comment:
  Added Alignoffset member to compensate for camera angle on
multi-die.
************** Version 16 *********
                     Date: 2/24/98 Time: 8:32p
User: Maxguest
Checked in $/AVI/DevSpec
Comment:
  Added imager defect mapping
************** Version 15 **********
                   Date: 2/12/98 Time: 7:14p
Hiser: Maxquest
Checked in $/AVI/DevSpec
```

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shotmod

```
Comment:
 Fixed memory leak in Test function.
************** Version 14 *********
                    Date: 2/10/98 Time: 1:43p
User: Maxguest
Checked in $/AVI/DevSpec
Comment
 Modified the sequence of blob analysis during chip testing.
************* Version 13 **********
                    Date: 1/31/98 Time: 11:26a
User: Maxguest
Checked in $/AVI/DevSpec
Comment:
  Process sensitive areas separately.
************** Version 12 *********
                    Date: 1/23/98 Time: 11:52a
User: Maxguest
Checked in $/AVI/DevSpec
Comment:
  Added separate trip values for each die in thye inspection array.
Added new processing parameters.
************** Version 11 *********
                    Date: 12/03/97 Time: 1:26a
User: Maxguest
Checked in $/AVI/DevSpec
Comment:
  Changed total fail count to be per die.
Allow parameter to set sensitivity boost.
************* Version 10 *********
                    Date: 11/20/97 Time: 11:07a
User: Maxquest
Checked in $/AVI/DevSpec
Comment:
  Added software for scaling threshold to brightness.
Added code to lower threshold in designated sensitive areas.
Added dynamic thresholding to set trip value on each die based on the intensity
histogram.
Fixed bug in sub-die expected Y position.
************* Version 9 *********
                     Date: 9/17/97 Time: 5:03p
User: Maxquest
Checked in $/AVI/DevSpec
Comment:
  clip bond pad mask to be within buffer boundries
************ Version 8 **********
                     Date: 8/26/97 Time: 7:43p
User: Maxguest
Checked in $/AVI/DevSpec
  Made sub-pixel alignment optional.
Aligned reference image to live image when displaying.
Force buffer sizes to match model size when reading in stored reference images.
************ Version 7 **********
                     Date: 7/15/97 Time: 7:32p
User: Maxguest
Checked in $/AVI/DevSpec
Comment:
************* Version 6 **********
User: Maxguest
                     Date: 6/27/97 Time: 6:49p
Checked in $/AVI/DevSpec
Comment:
                                     Page 33
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shotmod Modified std dev image calculation to use less memory. ************** Version 5 *********** Date: 6/27/97 Time: 4:46p User: Maxguest Checked in \$/AVI/DevSpec Comment: Multiple changes ************* Version 4 ********** Date: 3/07/97 Time: 8:50p User: Maxguest Checked in \$/AVI/DevSpec Comment: Added more integration with Mapper *************** Version 3 *********** Date: 2/05/97 Time: 7:10p User: Maxguest Checked in \$/AVI/DevSpec Comment: Added multi-die capability *************** Version 2 ************ Date: 1/24/97 Time: 6:42p User: Maxguest Checked in \$/AVI/DevSpec Comment:

************* Version 1 *********

Added AVI inspection code

User: Maxquest

Comment:

Created ShotMod.cpp

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Date: 1/23/97 Time: 1:00p

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